

SCHEDULE C: JOINT VENTURE AFFIDAVIT

For Internal Use Only:
Reviewed by: _____
Date: _____

Project Name: _____ Project Number: _____

If ALL joint venture participants are MBE/WBE then DO NOT complete this form. Submit a copy of the joint venture agreement clearly delineating the roles of all partners of the joint venture, Schedule A and copies of current certification letters.

If NOT all joint venture participants are MBW/WBE then this form IS REQUIRED and must be submitted along with a completed Schedule A, Schedule B, joint venture agreement and copies of current certification letters.

1. Name of joint venture: _____

2. Address of joint venture: _____

3. Phone Number of joint venture: _____

4. Nature of the joint venture's business: _____

5. Identify the firms which comprise the joint venture: _____

6. Indicate the percentage of MBE/WBE ownership of the joint venture? _____

7. Describe the role(s) of each joint venture participant for the following day-to-day policy and management decision areas. Identify by name, firm, and title the person(s).

- a. Financial decisions _____
- b. Management decisions, such as: _____
- c. Estimating _____
- d. Marketing and Sales _____
- e. Hiring and firing of management personnel _____
- f. Purchasing of major items or supplies _____
- g. Supervision of field operations _____
- h. Supervision of office personnel _____

8. Describe the financial controls of the joint venture, e.g., will a separate cost center be established; which venturer will be responsible for keeping the books; how will the expense therefore be reimbursed; the authority of each joint venturer to commit or obligate the other.

9. Describe the estimated contract cash flow for each joint venturer. _____

10. Attach a detailed organizational chart listing the persons performing services for the contract. Identify in detail their positions and titles and state whether they will be employees of the joint venture or one of its participants.

- 11. Provide an executed copy of the joint venture agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the joint venture.
- 12. Please provide the following specific information:
 - a. Allocation of profit and loss between joint venture participants.

 - b. Capital contributions of each joint venture participant, including equipment.

 - c. Other applicable ownership interests, including ownership options or other agreements which restrict ownership or control.

 - d. Describe any loan agreements between joint venture participants, and identify the terms thereof.

 - e. Please state any material facts or additional information pertaining to the control and structure of this joint venture.

The undersigned swear or affirm that the foregoing statements are correct and include all material information necessary to identify and explain the terms and operations of our joint venture and the intended participation by each joint venture participant in the undertaking. Further, the undersigned covenant and agree (i) to provide the AUTHORITY current, complete and accurate information regarding actual joint venture work, and the payment therefore and any proposed changes in the joint venture agreement and (ii) to provide for the audit and examination of the books, records and files of the joint venture, or those of each joint venturer relevant to the joint venture, by authorized representatives of the AUTHORITY.

ANY MATERIAL MISREPRESENTATION OR OMISSION WILL BE GROUNDS FOR TERMINATING ANY CONTRACT WHICH MAY BE AWARDED AND FOR INITIATING ACTION UNDER FEDERAL OR STATE LAWS CONCERNING FALSE STATEMENTS.

NOTE: If there is any significant change in the information submitted after filing this Schedule C and before the completion of the joint venture's work on the project, the joint venture must inform the AUTHORITY, either directly or through the Proposer/Bidder if the joint venture is a subcontractor.

Name of Joint Venture Participant

Name of Joint Venture Participant

Signature

Signature

Name: _____

Name: _____

Title: _____

Title: _____

Date: _____

Date: _____

State of: _____ County of: _____ Subscribed and sworn to before me this _____ day
of _____, 200____. _____ (Notary Public) (SEAL)